Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

For calendar year 2022 or tax year beginning | **and ending**

Name of foundation: THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

A Employer identification number: 20-1451752

Number and street (or P.O. box number if mail is not delivered to street address): 180 MAIDEN LANE | Room/suite:

B Telephone number (see instructions): (212)806-6027

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10038

C If exemption application is pending, check here [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) $ 93,126,280.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ______ (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,931,559.			
	2 Check [ ] if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,591,774.	1,591,774.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	173,568.			
	b Gross sales price for all assets on line 6a 61,853,991.				
	7 Capital gain net income (from Part IV, line 2)		173,568.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	13,316.	-11,522.		STMT 1
	12 **Total.** Add lines 1 through 11	4,710,217.	1,753,820.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	260,000.			260,000.
	14 Other employee salaries and wages	234,547.			234,547.
	15 Pension plans, employee benefits	63,842.	52,350.		11,492.
	16a Legal fees (attach schedule) STMT 2	47,717.	39,128.	NONE	8,589.
	b Accounting fees (attach schedule) STMT 3	17,308.	14,193.	NONE	3,115.
	c Other professional fees (attach schedule) *	326,288.	326,288.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) **	276,926.	27,163.		5,963.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	49,050.	40,221.		8,829.
	21 Travel, conferences, and meetings	3,360.	2,755.		605.
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 6	87,546.	71,788.		15,758.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,366,584.	573,886.	NONE	548,898.
	25 Contributions, gifts, grants paid	10,255,990.			10,255,990.
	26 **Total expenses and disbursements.** Add lines 24 and 25	11,622,574.	573,886.	NONE	10,804,888.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-6,912,357.			
	b **Net investment income** (if negative, enter -0-)		1,179,934.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	20,649.	10,521.	10,521.
2	Savings and temporary cash investments	14,488,920.	14,143,511.	14,143,511.
3	Accounts receivable			
	Less: allowance for doubtful accounts			
4	Pledges receivable			
	Less: allowance for doubtful accounts			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations (attach schedule) **	7,083,719.	9,582,877.	9,582,877.
b	Investments - corporate stock (attach schedule) STMT 8	91,160,631.	59,846,229.	59,846,229.
c	Investments - corporate bonds (attach schedule) STMT 11	7,751,841.	9,218,487.	9,218,487.
11	Investments - land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 13	322,371.	324,655.	324,655.
14	Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
15	Other assets (describe)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	120,828,131.	93,126,280.	93,126,280.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe)			
23	**Total liabilities** (add lines 17 through 22)	NONE	NONE	
Net Assets or Fund Balances				
	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. X			
24	Net assets without donor restrictions	120,828,131.	93,126,280.	
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. ☐			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	120,828,131.	93,126,280.	
30	**Total liabilities and net assets/fund balances** (see instructions)	120,828,131.	93,126,280.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	120,828,131.
2	Enter amount from Part I, line 27a	2	-6,912,357.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	113,915,774.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 14	5	20,789,494.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	93,126,280.

**STMT 7

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	173,568.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary - see instructions)	1	16,401.
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	16,401.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	16,401.
6	Credits/Payments:		
a	2022 estimated tax payments and 2021 overpayment credited to 2022 — 6a: 89,548.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	89,548.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**	10	73,147.
11	Enter the amount of line 10 to be: **Credited to 2023 estimated tax** 73,147. **Refunded**	11	

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. $ ______ **(2)** On foundation managers. $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the tax year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address PETRIE.ORG

14 The books are in care of ETTA BRANDMAN AT STOOCK Telephone no. 212-806-6027

Located at 180 MAIDEN LANE NEW YORK, NY ZIP+4 10038

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ☐

and enter the amount of tax-exempt interest received or accrued during the year | 15 |

			Yes	No
16	At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		X
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		X
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		X
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	X	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		X
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		X
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
c	Organizations relying on a current notice regarding disaster assistance, check here ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?	1d		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022?	2a		X
	If "Yes," list the years ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		X
b	If "Yes," did it have excess business holdings in 2022 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2022.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?	4b		X

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		X
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		X
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		X
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		X
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		X
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance, check here ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		X
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		X
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	8		X

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		260,000.	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 19		204,750.	NONE	NONE

Total number of other employees paid over $50,000 . . . NONE

JSA
2E1460 1.000

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Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 20		374,005.
Total number of others receiving over $50,000 for professional services		NONE

Part VIII-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part VIII-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions. 3 NONE	
Total. Add lines 1 through 3	

JSA
2E1465 1.000

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Part IX **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	85,927,968.
b	Average of monthly cash balances	1b	14,587,718.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	100,515,686.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	100,515,686.
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	1,507,735.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3	5	99,007,951.
6	**Minimum investment return.** Enter 5% (0.05) of line 5	6	4,950,398.

Part X **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	4,950,398.
2a	Tax on investment income for 2022 from Part V, line 5	2a	16,401.		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b			
c	Add lines 2a and 2b			2c	16,401.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	4,933,997.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	4,933,997.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	4,933,997.

Part XI **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	10,804,888.
b	Program-related investments - total from Part VIII-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	10,804,888.

Part XII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7					4,933,997.
2 Undistributed income, if any, as of the end of 2022:					
a Enter amount for 2021 only				NONE	
b Total for prior years: 20 20 ,20 19 ,20 18			NONE		
3 Excess distributions carryover, if any, to 2022:					
a From 2017	2,985,556.				
b From 2018	2,002,439.				
c From 2019	2,711,993.				
d From 2020	3,020,696.				
e From 2021					
f Total of lines 3a through e		10,720,684.			
4 Qualifying distributions for 2022 from Part XI, line 4: $ 10,804,888.					
a Applied to 2021, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2022 distributable amount					4,933,997.
e Remaining amount distributed out of corpus		5,870,891.			
5 Excess distributions carryover applied to 2022 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		16,591,575.			
b Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions)		2,985,556.			
9 Excess distributions carryover to 2023. Subtract lines 7 and 8 from line 6a		13,606,019.			
10 Analysis of line 9:					
a Excess from 2018	2,002,439.				
b Excess from 2019	2,711,993.				
c Excess from 2020	3,020,696.				
d Excess from 2021					
e Excess from 2022	5,870,891.				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2022	**(b)** 2021	**(c)** 2020	**(d)** 2019	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XIV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 21				10,255,990.
Total **3a**				10,255,990.
b *Approved for future payment*				
Total **3b**				

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,591,774.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	173,568.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b MISCELLANEOUS			14	13,316.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,778,658.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,778,658.

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

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Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ **Yes** ☒ **No**

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
		PRESIDENT

May the IRS discuss this return with the preparer shown below? See instructions. ☒ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
AARON SHAPIRO		11/15/2023		P01333816

Firm's name	FORVIS, LLP	Firm's EIN	44-0160260
Firm's address	1155 AVENUE OF THE AMERICAS #1200 NEW YORK, NY 10036	Phone no.	212-867-4000

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		FIDUCIARY TRUST INTERNATIONAL - INFO AVA				P	VAR	VAR
61853991.		61680423.					173,568.	
TOTAL GAIN(LOSS)							173,568.	

Schedule B
(Form 990)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Attach to Form 990 or Form 990-PF.
Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2022

Name of the organization

THE CARROLL AND MILTON PETRIE
FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number

20-1451752

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . $ ________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

Name of organization THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number 20-1451752

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	N/A	$ 309,998.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2	N/A	$ 48,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3	N/A	$ 75,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
4	N/A	$ 36,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
5	N/A	$ 298,418.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
6	N/A	$ 145,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

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Name of organization	Employer identification number
THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK	20-1451752

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	N/A	$ 199,999.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
8	N/A	$ 20,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
9	N/A	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
10	N/A	$ 761,138.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
11	N/A	$ 206,957.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
12	N/A	$ 405,039.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number: 20-1451752

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	N/A	$ 374,450.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization: THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number: 20-1451752

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	
		$	
		$	
		$	
		$	
		$	

Name of organization THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number 20-1451752

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) $ ______________
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TAX REFUNDS	21,404.	
PARTNERSHIP INCOME	-11,522.	-11,522.
SETTLEMENT INCOME	3,434.	
TOTALS	13,316.	-11,522.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	47,717.	39,128.		8,589.
TOTALS	47,717.	39,128.	NONE	8,589.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	17,308.	14,193.		3,115.
TOTALS	17,308.	14,193.	NONE	3,115.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	326,288.	326,288.		
TOTALS	326,288.	326,288.		

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	33,126.	27,163.	5,963.
FEDERAL EXCISE TAXES	243,800.		
TOTALS	276,926.	27,163.	5,963.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSE	73,423.	60,207.	13,216.
INSURANCE	8,696.	7,131.	1,565.
OTHER FEES	5,427.	4,450.	977.
TOTALS	87,546.	71,788.	15,758.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
US TREAS 07-31-2017 2.125%	346,359.	346,359.
US TREAS 05-15-2019 2.375%	891,111.	891,111.
US TREAS 09-30-2020 0.375%	370,992.	370,992.
US TREAS 02-15-2018 2.75%	211,597.	211,597.
US TREAS 08-15-2020 0.625%	173,224.	173,224.
US TREAS 08-15-2020 1.125%	136,744.	136,744.
US TREAS 11-15-2017 2.25%	1,027,716.	1,027,716.
US TREAS 08-15-2014 3.125%	42,297.	42,297.
US TREAS 08-31-2021 0.75%	1,018,469.	1,018,469.
US TREAS 11-15-2020 0.875%	495,709.	495,709.
US TREAS 08-15-2021 1.25%	969,350.	969,350.
US TREAS 11-15-2022 4%	411,337.	411,337.
US TREAS 12-31-2021 1.375%	396,714.	396,714.
US TREAS 11-15-2021 1.375%	427,455.	427,455.
US TREAS 05-15-2022 3.25%	824,556.	824,556.
US TREAS 11-15-2012 2.75%	822,563.	822,563.
CO HSG & FIN RV CLASS 1 SERIES	162,237.	162,237.
MIAMI-DADE CNTY FL AVIATION	133,584.	133,584.
ILLINOIS ST GO APRIL SERIES A	108,435.	108,435.
NJ ST ECON DEV AUTH REVENUE	95,816.	95,816.
TX MOBILITY AUTH REV SENIOR	86,626.	86,626.
GASTONIA N C LTD OBLG TXBL	58,458.	58,458.
ESCAMBIA CNTY H CARE REV BOND	18,925.	18,925.
ARIZONA BRD OF RGTS BOND	97,644.	97,644.
UNIV HOSPS WI REVENUE SERIES C	254,959.	254,959.
US OBLIGATIONS TOTAL	9,582,877.	9,582,877.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CHEVRON CORP	1,321,944.	1,321,944.
ECOLAB INC	900,289.	900,289.
HONEYWELL INTERNATIONAL INC	1,606,179.	1,606,179.
NORFOLK SOUTHERN CORP	295,704.	295,704.
AMAZON.COM INC	831,600.	831,600.
HOME DEPOT INC	947,580.	947,580.
MCDONALD'S CORP	1,054,120.	1,054,120.
NIKE INC CL B	1,053,090.	1,053,090.
COSTCO WHOLESALE CORP	1,369,500.	1,369,500.
PEPSICO INC	1,354,950.	1,354,950.
PROCTER & GAMBLE CO	909,360.	909,360.
ABBOTT LABORATORIES	1,646,850.	1,646,850.
ASTRAZENECA PLC SPONS ADR	949,200.	949,200.
CVS HEALTH CORP	559,140.	559,140.
DANAHER CORP	841,381.	841,381.
IQVIA HOLDINGS INC	717,115.	717,115.
REGENERON PHARMACEUTICALS INC	144,298.	144,298.
STRYKER CORP	554,992.	554,992.
UNITEDHEALTH GROUP INC	2,067,702.	2,067,702.
AMERICAN EXPRESS CO	117,461.	117,461.
ARES MANAGEMENT CORP CL A	418,511.	418,511.
BLACKROCK INC	637,767.	637,767.
CHARLES SCHWAB CORP	1,282,204.	1,282,204.
JP MORGAN CHASE & CO	1,731,231.	1,731,231.
MORGAN STANLEY	1,487,850.	1,487,850.
ADOBE INC	137,977.	137,977.
APPLE INC	1,883,985.	1,883,985.
LAM RESEARCH CORP	149,207.	149,207.
MASTERCARD INC CL A	765,006.	765,006.

THE CARROLL AND MILTON PETRIE 20-1451752

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MICROCHIP TECHNOLOGY INC	534,251.	534,251.
MICROSOFT CORP	2,328,652.	2,328,652.
NVIDIA CORP	149,063.	149,063.
SALESFORCE.COM INC	71,598.	71,598.
SERVICENOW INC	114,540.	114,540.
TEXAS INSTRUMENTS INC	545,226.	545,226.
ALPHABET INC CLASS C	1,242,220.	1,242,220.
ELECTRONIC ARTS INC	366,540.	366,540.
WALT DISNEY CO	347,520.	347,520.
NEXTERA ENERGY INC	1,738,880.	1,738,880.
CONOCOPHILLIPS	1,534,000.	1,534,000.
EATON CORP PLC	729,818.	729,818.
STARBUCKS CORP	793,600.	793,600.
TJX COS INC	620,880.	620,880.
ELI LILLY & CO	804,848.	804,848.
AMERICAN ELECTRIC POWER CO INC	474,750.	474,750.
ISHARES CORE S&P MID-CAP ETF	4,550,081.	4,550,081.
FRANKLIN SMALL CAP VALUE FUND	1,574,706.	1,574,706.
HARDING LOEVNER INTL EQUITY	4,483,471.	4,483,471.
MATTHEWS PACIFIC TIGER FUND	1,[illegible].	1,[illegible].
PHILLIPS 66	728,560.	728,560.
AMETEK INC NEW	998,299.	998,299.
DEERE & CO	555,244.	555,244.
O'REILLY AUTOMOTIVE INC	844,030.	844,030.
CONSTELLATION BRANDS INC CL A	417,150.	417,150.
BRISTOL-MYERS SQUIBB CO	575,600.	575,600.
ARTHUR J GALLAGHER & CO	490,204.	490,204.
BROADCOM INC	950,521.	950,521.
EATON VANCE ATLANTA CAP SMID	1,129,484.	1,129,484.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
TOTALS	59,846,229.	59,846,229.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ISHARES CMBS ETF	188,231.	188,231.
FANNIE MAE POOL MTG PL #MA3834	78,566.	78,566.
FANNIE MAE POOL MTG PL #MA4182	282,177.	282,177.
FANNIE MAE POOL MTG PL #MA4183	240,949.	240,949.
FANNIE MAE POOL MTG PL #MA4208	307,119.	307,119.
FANNIE MAE POOL MTG PL #MA4210	250,507.	250,507.
FRANKLIN STRATEGIC MORTGAGE	549,777.	549,777.
ISHARES INTERMEDIATE-TERM CORP	777,307.	777,307.
BOEING CO/THE SENIOR NOTE	98,496.	98,496.
AMERICAN HONDA FINANCE CORP NT	95,534.	95,534.
PEACEHEALTH OBLIGATED GROUP NT	121,176.	121,176.
BOEING CO/THE SR NT CALLABLE	150,095.	150,095.
BANK OF AMERICA CORP DTD	68,650.	68,650.
BERKSHIRE HATHAWAY INC NOTE	67,277.	67,277.
VERIZON COMMUNICATIONS NOTE	157,303.	157,303.
AMERICAN EXPRESS CO SENIOR NT	94,430.	94,430.
BUNGE LTD FINANCE CORP SR NT	65,301.	65,301.
MORGAN STANLEY SUBORDINATED BD	68,012.	68,012.
SIMON PROPERTY GROUP LP BOND	65,181.	65,181.
PROCTER & GAMBLE CO/THE NOTE	444,925.	444,925.
JOHN DEERE CAPITAL CORP NOTE	359,242.	359,242.
GOLDMAN SACHS GROUP INC NOTE	236,436.	236,436.
CATERPILLAR FINANCIAL SRVCS NT	342,302.	342,302.
JPMORGAN CHASE & CO SUB BOND	67,565.	67,565.
CVS HEALTH CORP SENIOR BOND	19,382.	19,382.
APPLE INC SR NT CALLABLE	165,332.	165,332.
KIMBERLY-CLARK CORP BOND	53,074.	53,074.
KROGER CO/THE SENIOR BOND	67,725.	67,725.
WALT DISNEY CO/THE SR NT	273,648.	273,648.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SHERWIN-WILLIAMS CO/THE SR BD	276,813.	276,813.
SUTTER HEALTH CALLABLE	101,091.	101,091.
BANK OF AMERICA SENIOR BOND	146,777.	146,777.
TYSON FOODS INC SR NT	67,275.	67,275.
COMCAST CORP SENIOR NOTE	55,744.	55,744.
SOUTHERN CO/THE CALLABLE	149,936.	149,936.
ISHARES JP MORGAN USD	215,705.	215,705.
COLGATE-PALMOLIVE CO SR BD	330,273.	330,273.
MCDONALD'S CORP SR NT	372,765.	372,765.
CAPITAL ONE FINANCIAL CORP SR	354,052.	354,052.
STATE STREET CORP SR BOND	291,121.	291,121.
CITIGROUP INC SR BOND	367,357.	367,357.
BANK OF AMERICA CORP SR BD	248,654.	248,654.
FEDEX CORP SENIOR BOND	289,735.	289,735.
AMGEN INC SR NT	195,470.	195,470.
TOTALS	9,218,487.	9,218,487.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
TRANS-CANADA PIPELINES SR NOTE	69,620.	69,620.
CARL MARKS STRATEGIC OPP FD II	163,962.	163,962.
NEW YORK POOLED PRI FUND LLC	91,073.	91,073.
TOTALS	324,655.	324,655.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED LOSS ON INVESTMENTS		20,789,494.
	TOTAL	20,789,494.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
==

OFFICER NAME:
DAVID TANNER C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

OFFICER NAME:
JEAN L. TROUBH C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR/VICE-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
==

OFFICER NAME:
REGINA PERUGGI C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR/CO-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

OFFICER NAME:
ETTA BRANDMAN C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR/CO-PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
==

OFFICER NAME:
GAIL GORDON C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR/TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

OFFICER NAME:
CASS CONRAD C/O CARROLL & PETRIE

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
EXECUTIVE DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 35.00

COMPENSATION .... 260,000.

FORM 990PF, PART VII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
KARRIN WILKIS C/O STROOCK

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TITLE:
DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1.00

TOTAL COMPENSATION: 260,000.

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS: NONE

EXPENSE ACCOUNT AND OTHER ALLOWANCES: NONE

990PF, PART VII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
ALEXANDER ROLAND

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 35.00

COMPENSATION .... 65,167.

EMPLOYEE NAME:
DESIREE VAZQUEZ BARLATT

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

COMPENSATION .... 139,583.

TOTAL COMPENSATION: 204,750.

990PF, PART VII-COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

NAME:
FIDUCIARY TRUST COMPANY INTERNATIONAL

ADDRESS:
280 PARK AVENUE
NEW YORK, NY 10017

TYPE OF SERVICE:
INVESTMENT MGMT

COMPENSATION .... 326,288.

NAME:
STROOCK & STROOCK & LAVAN LLP

ADDRESS:
180 MAIDEN LANE
NEW YORK, NY 10038

TYPE OF SERVICE:
LEGAL SERVICES

COMPENSATION .... 47,717.

TOTAL COMPENSATION: 374,005.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NY
ADDRESS:
230 WEST 41ST STREET, FLOOR 7

NEW YORK, NY 10036
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 2,009,648.

RECIPIENT NAME:
BOROUGH OF MANHATTAN COMMUNITY COLLEGE FOUNDATION
ADDRESS:
199 CHAMBERS STREET

NEW YORK, NY 10007
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 510,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
NEW VISIONS FOR PUBLIC SCHOOLS, INC.
ADDRESS:
205 EAST 42ND STREET, 4TH FLOOR

NEW YORK, NY 10017
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID.............................. 385,544.

RECIPIENT NAME:
THE BOTTOM LINE, INC.
ADDRESS:
50 MILK STREET, 16TH FLOOR

BOSTON, MA 02109
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

MA

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID.............................. 370,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MEDGAR EVERS COLLEGE EDUCATION FOUNDATION, INC.
ADDRESS:
1650 BEDFORD AVENUE

BROOKLYN, NY 11225
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 369,900.

RECIPIENT NAME:
BROOKLYN COLLEGE FOUNDATION, INC.
ADDRESS:
2900 BEDFORD AVE

BROOKLYN, NY 11210
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 320,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HOSTOS COMMUNITY COLLEGE FOUNDATION, INC.
ADDRESS:
500 GRAND CONCOURSE

BRONX, NY 10451
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 314,167.

RECIPIENT NAME:
JOHN JAY COLLEGE FOUNDATION
ADDRESS:
524 WEST 59TH STREET

NEW YORK, NY 10019
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 280,100.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
GOOD SHEPHERD SERVICES
ADDRESS:
305 SEVENTH AVENUE, 9TH FLOOR

NEW YORK, NY 10001
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 280,000.

RECIPIENT NAME:
BRAVEN, INC.
ADDRESS:
100 N. LASALLE STREET, SUITE 310

CHICAGO, IL 60602
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

IL

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 265,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
FRIENDS OF WHEELS
ADDRESS:
511 WEST 182ND STREET, 4TH FLOOR

NEW YORK, NY 10033
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 250,000.

RECIPIENT NAME:
HILLEL: THE FOUNDATION FOR JEWISH CAMPUS LIFE
ADDRESS:
800 EIGHTH STREET, NW

WASHINGTON, DC 20001
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

DC

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 250,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE BRONX COMMUNITY COLLEGE FOUNDATION, INC.
ADDRESS:
2155 DR MARTIN L KING JR BLVD

BRONX, NY 10453
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 220,000.

RECIPIENT NAME:
INTERNATIONALS NETWORK FOR PUBLIC SCHOOLS, INC.
ADDRESS:
2946 NORTHERN BLVD, 4TH FLOOR

LONG ISLAND CITY, NY 11101
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 215,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
CITY COLLEGE 21ST CENTURY
ADDRESS:
160 CONVENT AVE, #154

NEW YORK, NY 10031
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 210,003.

RECIPIENT NAME:
THE URBAN ASSEMBLY, INC.
ADDRESS:
90 BROAD STREET, SUITE 2101

NEW YORK, NY 10004
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 210,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HERBERT H. LEHMAN COLLEGE
ADDRESS:
250 BEDFORD PARK BLVD W SHUSTER HALL ROOM 312

BRONX, NY 10468
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 205,000.

RECIPIENT NAME:
ITHAKA HARBORS, INC.
ADDRESS:
101 GREENWICH STREET, 18TH FLOOR

NEW YORK, NY 10006
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 200,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
BARUCH COLLEGE FUND
ADDRESS:
ONE BERNARD BARUCH WAY, BOX A-1603

NEW YORK, NY 10010
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 190,000.

RECIPIENT NAME:
STREETWISE PARTNERS INCORPORATED
ADDRESS:
222 BROADWAY, FLOOR 19

NEW YORK, NY 10038
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 171,068.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
NEW YORK CITY OUTWARD BOUND CENTER, INC.
ADDRESS:
29-46 NORTHERN BLVD, 4TH FLOOR

LONG ISLAND CITY, NY 11101
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 170,000.

RECIPIENT NAME:
UASPIRE, INC.
ADDRESS:
31 MILK STREET, SUITE 900

BOSTON, MA 02109
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

MA

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 162,420.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
QUEENS COLLEGE FOUNDATION
ADDRESS:
6530 KISSENA BLVD

FLUSHING, NY 11367
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 160,000.

RECIPIENT NAME:
QUEENSBOROUGH COMMUNITY COLLEGE FUND, INC.
ADDRESS:
22205 56TH AVE, SUITE A-508

OAKLAND GARDENS, NY 11364
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 160,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
QUEENS COMMUNITY HOUSE, INC.
ADDRESS:
108-25 62ND DR

QUEENS, NY 11375
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 154,190.

RECIPIENT NAME:
COOP CAREERS, INC.
ADDRESS:
1177 AVENUE OF THE AMERICAS, FLOOR 5

NEW YORK, NY 10036
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 145,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
REEL STORIES TEEN FILMMAKING, INC.
ADDRESS:
540 PRESIDENT STREET, SUITE 2F

BROOKLYN, NY 11215
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 140,000.

RECIPIENT NAME:
IMENTOR INCORPORATED
ADDRESS:
199 WATER STREET, 8TH FLOOR

NEW YORK, NY 10038
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 130,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SPONSORS FOR EDUCATION OPPORTUNITY
ADDRESS:
55 EXCHANGE PLACE, SUITE 601

NEW YORK, NY 10005
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 130,000.

RECIPIENT NAME:
ARIZOU (DBA PROJECT BASTA)
ADDRESS:
500 7TH AVENUE, 8TH FLOOR

NEW YORK, NY 10018
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 125,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
CUNY SCHOOL FOR PROFESSIONAL STUDIES FOUNDATION
ADDRESS:
119 WEST 31ST STREET

NEW YORK, NY 10001
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 120,000.

RECIPIENT NAME:
YORK COLLEGE FOUNDATION
ADDRESS:
9420 GUY R BREWER BLVD

JAMAICA, NY 11451
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 120,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE NEW YORK FOUNDLING
ADDRESS:
590 AVENUE OF THE AMERICAS

NEW YORK, NY 20022
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 110,000.

RECIPIENT NAME:
HOSTOS COMMUNITY COLLEGE FUND
ADDRESS:
500 GRAND CONCOURSE

BRONX, NY 10451
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 108,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
NEW YORK CITY COLLEGE OF TECHNOLOGY FOUNDATION
ADDRESS:
300 JAY STREET NAMM HALL 319

BROOKLYN, NY 11201
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 100,000.

RECIPIENT NAME:
THE NEW SCHOOL
ADDRESS:
79 FIFTH AVENUE, 16TH FLOOR

NEW YORK, NY 10036
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 100,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE KINGSBOROUGH COMMUNITY COLLEGE FOUNDATION, INC
ADDRESS:
2001 ORIENTAL BOULEVARD

BROOKLYN, NY 11235
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 95,000.

RECIPIENT NAME:
MACAULAY HONORS COLLEGE FOUNDATION
ADDRESS:
35 WEST 67TH STREET

NEW YORK, NY 10023
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 87,860.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
GRACE OUTREACH, INC.
ADDRESS:
378 EAST 151ST STREET

BRONX, NY 10455
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 80,000.

RECIPIENT NAME:
CITY PARKS FOUNDATION INC.
ADDRESS:
830 FIFTH AVENUE

NEW YORK, NY 10065
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 75,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE GRADUATE CENTER FOUNDATION, INC.
ADDRESS:
365 FIFTH AVENUE, SUITE 8204

NEW YORK, NY 10016
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 75,000.

RECIPIENT NAME:
PURSUIT TRANSFORMATION COMPANY
ADDRESS:
4710 AUSTELL PL, 2ND FLOOR

LONG ISLAND CITY, NY 11101
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 70,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
BROOKLYN COLLEGE
ADDRESS:
2900 BEDFORD AVE

BROOKLYN, NY 11210
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 50,000.

RECIPIENT NAME:
COOPER UNION FOR THE ADVANCEMENT OF SCIENCE & ART
ADDRESS:
30 COOPER SQUARE, 2ND FLOOR

NEW YORK, NY 10003
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 50,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
THE CHILD CENTER OF NY, INC.
ADDRESS:
118-35 QUEENS BOULEVARD, 6TH FLOOR

FOREST HILLS, NY 11375
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 40,000.

RECIPIENT NAME:
THE HUNTER COLLEGE FOUNDATION, INC.
ADDRESS:
695 PARK AVENUE, ROO M E-1313

NEW YORK, NY 10065
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 39,988.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
FAMILYCOOK COMMUNITY TABLE
ADDRESS:
330 EAST 43RD STREET, APT 704

NEW YORK, NY 10017
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 39,952.

RECIPIENT NAME:
EPIC THEATRE CENTER, INC.
ADDRESS:
31-01 VERNON BOULEVARD, #612

ASTORIA, NY 11106
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 38,750.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
CUNY SCHOOL OF LAW FOUNDATION
ADDRESS:
2 COURT SQUARE

LONG ISLAND CITY, NY 11101
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 37,000.

RECIPIENT NAME:
CITY PARKS
ADDRESS:
830 FIFTH AVENUE

NEW YORK, NY 10065
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 29,900.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
LAGUARDIA COMMUNITY COLLEGE FOUNDATION
ADDRESS:
31-10 THOMSON AVE, E-517

LONG ISLAND CITY, NY 11101
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 25,000.

RECIPIENT NAME:
COLLEGE OF STATEN ISLAND FOUNDATION, INC.
ADDRESS:
2800 VICTORY BLVD

STATEN ISLAND, NY 10314
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 20,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE KNOWLEDGE HOUSE
ADDRESS:
363 RIDER AVENUE

BRONX, NY 10451
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 15,000.

RECIPIENT NAME:
FUTURES AND OPTIONS
ADDRESS:
111 BROADWAY, SUITE 1602

NEW YORK, NY 10006
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID............................... 10,000.

FORM 990PF, PART XIV, LINE 3A - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
STELLA & CHARLES GUTTMAN COMMUNITY COLLEGE FOUNDAT
ADDRESS:
50 WEST 40TH STREET

NEW YORK, NY 10018
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 10,000.

RECIPIENT NAME:
CUNY SCHOOL OF LABOR AND URBAN STUDIES, INC.
ADDRESS:
25 WEST 43RD STREET, FLOOR 19

NEW YORK, NY 10036
RELATIONSHIP:

NONE

PURPOSE OF GRANT:

NY

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID................................ 7,500.

TOTAL GRANTS PAID: 10,255,990.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

Attach to Form 1041, Form 5227, or Form 990-T.
Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9, and 10.
Go to *www.irs.gov/F1041* for instructions and the latest information.

OMB No. 1545-0092

2022

Name of estate or trust: THE CARROLL AND MILTON PETRIE FOUNDATION, INC. C/O BRANDMAN AT STROOCK

Employer identification number 20-1451752

Did you dispose of any investment(s) in a qualified opportunity fund during the tax year? . . . . ☐ **Yes** ☒ **No**

If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Generally Assets Held 1 Year or Less (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	**(d)** Proceeds (sales price)	**(e)** Cost (or other basis)	**(g)** Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2021 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on Part III, line 17, column (3).	7	

Part II Long-Term Capital Gains and Losses - Generally Assets Held More Than 1 Year (see instructions)

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	**(d)** Proceeds (sales price)	**(e)** Cost (or other basis)	**(g)** Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	61,853,991.	61,680,423.		173,568.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13 Capital gain distributions.	13	
14 Gain from Form 4797, Part I.	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2021 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on Part III, line 18a, column (3)	16	173,568.

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Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			
18	**Net long-term gain or (loss):**				
a	Total for year	18a			173,568.
b	Unrecaptured section 1250 gain (see line 18 of the worksheet)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a	19			173,568.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **don't** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

Part IV **Capital Loss Limitation**

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Schedule A (Form 990-T), Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or b** $3,000 . . . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000**, or** if Form 1041, page 1, line 23 (or Form 990-T, Part I, line 11), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 23, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2), or line 18c, col. (2), is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g, are more than zero, or*
- *There are amounts on lines 4e and 4g of Form 4952.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, Part I, line 11, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2), or line 18c, col. (2), is more than zero.

21	Enter taxable income from Form 1041, line 23 (or Form 990-T, Part I, line 11)		21		
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22			
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23			
24	Add lines 22 and 23	24			
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	25			
26	Subtract line 25 from line 24. If zero or less, enter -0-		26		
27	Subtract line 26 from line 21. If zero or less, enter -0-		27		
28	Enter the **smaller** of the amount on line 21 or $2,800		28		
29	Enter the **smaller** of the amount on line 27 or line 28		29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0%				30
31	Enter the **smaller** of line 21 or line 26		31		
32	Subtract line 30 from line 26		32		
33	Enter the **smaller** of line 21 or $13,700		33		
34	Add lines 27 and 30		34		
35	Subtract line 34 from line 33. If zero or less, enter -0-		35		
36	Enter the **smaller** of line 32 or line 35		36		
37	Multiply line 36 by 15% (0.15)				37
38	Enter the amount from line 31		38		
39	Add lines 30 and 36		39		
40	Subtract line 39 from line 38. If zero or less, enter -0-		40		
41	Multiply line 40 by 20% (0.20)				41
42	Figure the tax on the amount on line 27. Use the 2022 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the Instructions for Form 1041)		42		
43	Add lines 37, 41, and 42		43		
44	Figure the tax on the amount on line 21. Use the 2022 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the Instructions for Form 1041)		44		
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, Part I, line 1a (or Form 990-T, Part II, line 2)				45

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on other side: THE CARROLL AND MILTON PETRIE

Social security number or taxpayer identification number: 20-1451752

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are generally long-term (see instructions). For short-term transactions, see page 1.

Note: You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [x] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis **wasn't** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions.	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g).
FIDUCIARY TRUST INTERNATIONAL - INFO AV			61,853,991.00	61,680,423.00			173,568.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . .			61,853,991.	61,680,423.			173,568.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.